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A Softer, Gentler FDA Inspectorate? It's Possible With QSR/ISO 13485 Harmonization, Auditing Expert Says

by [Shawn M. Schmitt](#)

Let's be blunt: Device-makers aren't particularly fond of facility inspections by the US FDA. From audits that can sometimes drag on for weeks to nightmarish inspections well-documented by industry experts, there's no shortage of tales of investigators that were difficult to handle. But the agency's ongoing rewrite of its Quality System Regulation to harmonize it with international standard ISO 13485 could "soften FDA's inspectorate," NSF International's Brian Ludovico says. Also: How might the FDA's Quality System Inspection Technique (QSIT) change, post-harmonization?

Let's be blunt: Device-makers aren't particularly happy when the US Food and Drug Administration knocks on their door to conduct a facility inspection.

From audits that can sometimes drag on for weeks – with agency investigators [traipsing in and out of plants](#) – to [nightmarish inspections well-documented](#) by [industry experts](#), there's no shortage of tales of investigators that were [difficult to handle](#).

But now, with [the FDA in the middle of a harmonization effort](#) to merge its 23-year-old Quality System Regulation (QSR) with international standard ISO 13485, one industry expert says that work will likely make the agency take a more wide-eyed look at how it operates its inspections and oversees its inspectorate.

The FDA's QSR has been the bedrock rule for manufacturing safe and effective medical devices to be sold in the US since 1996. Meanwhile, [ISO 13485:2016](#) is used by device firms to ensure quality systems compliance with regulators in a variety of countries, including Canada, Japan, Australia

and the 28 member states of the EU. Much of the QSR already aligns with ISO 13485.

“With the advent of MDSAP ... and FDA’s ISO 13485 harmonization work, I have to believe that internally at the agency there must be some discussion of, ‘Hey, do you know how we look to the public when we inspect?’” said Brian Ludovico, executive director of MDSAP regulatory certification for NSF International.

MDSAP, created by the International Medical Device Regulators Forum (IMDRF), allows firms to undergo one audit by an accredited third party to satisfy quality regulations for the US, Canada, Brazil, Japan and Australia. MDSAP maps to ISO 13485. (Also see "[MDSAP Is A Snap If Your Firm Follows Quality Systems Standard ISO 13485, Auditor Says](#)" - Medtech Insight, 31 Jan, 2019.)

Auditing organizations like NSF are used to conduct MDSAP audits, and auditors traditionally tend to be more collaborative than FDA investigators. But that’s because the FDA is ultimately an enforcement agency, Ludovico said on Oct. 24 at FDAnews’ 14th Annual FDA inspections Summit in Bethesda, MD.

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“If you let companies run wild, they’ll run wild, so there has to be that enforcement hammer in the form of the FDA,” he said. “But with this upcoming harmonization of the QSR with ISO 13485, you’re probably going to see within the agency some sort of understanding of, ‘Look, this is how they do [audits] throughout the rest of the world.’”

Ludovico went on: “MDSAP is a compliance-based, proactive, innocent-until-proven-guilty system – not a seemingly guilty-until-proven-innocent system” like the FDA has.

For example, when an agency investigator collects data during an inspection, “the attitude is, ‘I’m going to dig and dig and dig and dig, and when I feel like I finally can’t find something, then I’ll release you from questioning’ – versus an MDSAP audit, where the auditor will say, ‘I’ve checked a sample size and it doesn’t fit within that population. Mathematically and statistically, we’re OK here. The onus is back on you that you don’t do something nefarious, so to speak,’” he said.

“So, I think the harmonization efforts will soften FDA's inspectorate – but there isn't something yet that's outward that we can see,” Ludovico said.

“It's ... difficult for an investigator who's been doing the job for 20 years to change how they do things.” – Kristen Grumet

To its credit, the agency has been more open lately to learning from other regulators.

More notably, Jeff Shuren, director of the FDA's Center for Devices and Radiological Health (CDRH), said in September that he wants the agency to move away from using the phrase “gold standard” when referring to their device regulations.

“I would not view us as the gold standard; we're merely a standard out there,” Shuren said at the 2019 MedTech Conference in Boston, MA. (Also see "[US FDA Toying With Idea Of 'Regulatory Legos' In MDUFA V](#)" - Medtech Insight, 1 Oct, 2019.)

But when it comes to inspections, there may be some resistance by investigators to change in the wake of a retooled QSR.

That's according to Kristen Grumet, a former FDA investigator who is now senior VP of regulatory compliance with consulting firm Greenleaf Health.

“It's hard to change the mentality,” she said at the Inspections Summit. “If the agency brings in new people to be investigators and trains them with that new QSR/ISO 13485 mentality, then maybe it can happen. But it's more difficult for an investigator who's been doing the job for 20 years to change how they do things. So, it might take a while.”

A Change For QSIT Post-Harmonization?

Both Grumet and Ludovico say that after the new Quality System Regulation – or whatever it is ultimately named – goes into effect, agency investigators may operate under a hybrid FDA/auditing organization approach.

That would call for an update to the FDA's [Quality System Inspection Technique](#), Grumet said. QSIT is designed currently to make sure that investigators look at the most important compliance issues and ask pertinent questions linked to four major quality system subsystems: management controls, corrective and preventive action (CAPA), design controls, and production

and process controls.

“For QSIT post-harmonization, the language may change, the terminology may change, the flow may change,” she said. The agency “will probably add additional questions and other things [to QSIT] to make sure that things are looked at appropriately.

“But I don’t think it will have to change much. It just needs to be massaged.”